Appendix I

Examples of the Completion of the FinCEN Currency Transaction Report ("CTR") for Transactions Involving Armored Car Services

1. Bank A – Single reportable transactions conducted by an armored car service on behalf of a bank or on behalf of a bank's customer

On the same business day, Bank A receives currency deposits for the accounts of two accountholders, as follows:

- 1.1. Jack's Restaurant is a large cash business that is a customer of Bank A. Bank A has contracted with Armored Car Service One ("ACS One") to pick up the cash from Jack's Restaurant and transport it to Bank A's account with the Federal Reserve. ACS One picks up \$21,000 in cash from Jack's Restaurant and delivers it to the Federal Reserve. Bank A will complete the CTR reflecting this transaction as follows:
 - Part I (1 of 1) will show Jack's Restaurant's corporate information, checking boxes 2c ("Person on whose behalf transaction was conducted") and "Check if entity," and inserting in item 21 the deposited amount (\$21,000) and Jack Restaurant's account number.
 - Part II will show the \$21,000 deposited in section 25a, checking box 24 ("Armored Car (FI Contract)").
 - Part III will contain Bank A's information as the financial institution where transaction took place.

The completed CTR is shown on pages 6 through 9 of this guidance.

- 1.2. Bob's Warehouse is a large cash business that is a customer of Bank A. Bob's Warehouse has contracted with Armored Car Service One ("ACS One") to pick up the cash from the warehouse and transport it to Bank A for deposit into Bob's Warehouse account. ACS One picks up \$21,000 in cash from Bob's Warehouse and delivers the cash to Bank A. Bank A will complete the CTR reflecting this transaction as follows:
 - Part I (1 of 2) will show Bob's Warehouse corporate information, checking box 2c ("Person on whose behalf transaction was conducted") and "Check if entity," and inserting in item 21 the deposited amount (\$21,000) and Bob's Warehouse account number.
 - Part I (2 of 2) will show ACS One's corporate information, checking boxes 2d ("Courier service (private)") and "Check if entity," and inserting in item 21 the amount delivered by ACS One on behalf of Bob's Warehouse (\$21,000) and Bob's Warehouse account number.
 - Part II will show the \$21,000 deposited in section 25a.
 - Part III will contain Bank A's information as the financial institution where transaction took place.

The completed CTR is shown on pages 10 through 14 of this guidance.

Even though ACS One made a currency delivery of \$21,000 acting on behalf of a person other than Bank A, no separate CTRs need to be filed on ACS One's delivery.

Currenc OMB No. 1506	y Transaction Report	Version Number: 1.2
Filing Name	ACS Delivery O/B FI (1.1)	
*1 Type of filing	nitial report. Correct/amend prior report	FinCEN directed Backfiling
Document Control Number	BSA Identifier	
Save	Validate	Print
By pro∨iding my PIN, I a	acknowledge that I am electronically signing t	the BSA report submitted.
	Sign with PIN	

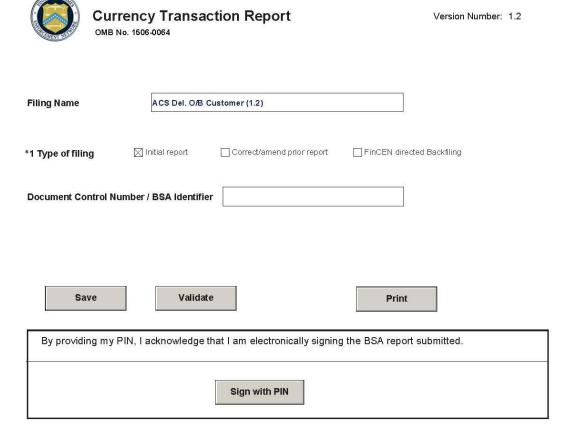
	naucting n on own behalf ansactions	b Person conducting transaction for another	Person on w transaction w	vas conducted d [Courier Service (pri
Check					
*4 Individual's last nam or entity's legal name		Jack's Restaurant			
*5 First name	Unknown				
6 Middle name					
Suffix					
7 Gender					
8 Alternate Name					
9 Occupation or type of	business	Food Service Business			
9a NAICS Code		722			
*10 Address	Unknown	123 Nice Lane			
*11 City	Unknown	Washington			
*12 State	Unknown	DC	*13 ZIP/Postal	Code Unknown	20005
*14 Country	Unknown	US			
*15 TIN	Unknown	456123987	16 TIN type	EIN	
*17 Date of birth	Unknown				
18 Contact phone num	per	2023536900	Ext.		
19 E-mail address		. jack@jacksrestaurant.com	0	or 60	
*20 Form of identification	on used to verify i	dentity Unknown			
Driver's lice	ense/State ID	Passport Alien Registration	⊠ Other Bu	siness License	
Number DC123456		Country US	Is	suing State	DC
21 Cash in amount for	individual or entity	/ listed in Item 4 \$	21,0	000	
	Acc	ount number 123654			0
22 Cash out amount for	r individual or ent	ity listed in Item 4		_	
					A A

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Part II Amount and Type of Transaction(s). Check all boxes that apply.

*23 Date	of transaction 11/08/2012			
24 🔀	Armored car (FI Contract)	☐ ATM ☐ Mail Depo	sit or Shipment Night Deposit	Aggregated transactions
*25 CAS	H IN: (in U.S. dollar equivalent)		*27 CASH OUT: (in U.S. dollar equiva	lent)
a Depo	osit(s) \$_	21,000.00	a Withdrawal(s)	.00
b Payr	nent(s)	.00	b Advance(s) on credit (including markers)	.00
	ency received for funds sfer(s) out	.00	c Currency paid from funds transfer(s) in	.00
d Purc	hase of negotiable instrument (s)	.00_	d Negotiable instrument(s) cashed	.00
e Curre	ency exchange(s)	.00	e Currency exchange(s)	.00
f Curr	ency to prepaid access	.00	f Currency from prepaid access	.00
	hases of casinos chips, tokens d other gaming instruments	.00	g Redemption(s) of casino chips, tokens, TITO tickets and other gaming instrumer	nts .00
	ency wager(s) including ney plays	.00	h Payment(s) on wager(s) (including race and OTB or sports pool)	.00
i Bills	inserted into gaming devices	.00.	i Travel and complimentary expenses and book gaming incentives	.00
z Othe	r (specify):		j Payment for tournament, contest or othe promotions	.00
8 <u>2</u>		.00	z Other (specify):	
				.00
Total	Cash in \$	21,000,00	Total Cash out \$	
26 Foreig	n Cash in	Fore	gn Country	0 0
28 Foreig	n Cash out	Fore	gn Country	•

Part	Part III Financial Institution Where Transaction(s) Takes Place 1 of 1				
*37 T	ype of financial ir	nstitution	Depository institution		
į	Other (specify)				
*29 P	Primary federal re	gulator	Office of the Comptroller of the Currency (OCC)		
38 lf 3	37a - Casino/Car	d Club is ch	necked, indicate type (check only one)		
	State license	ed casino	Tribal authorized casino		
*30 L	egal name of fina	ancial institu	ation Bank A		
31 A	lternate name, e.	g. trade na	me, DBA		
*32 E	EIN	11232336	6		
*33 A	ddress	1000 14th	Street NW		
*34 C	City	Washingt	ton		
*35 S	State		DC		
*36 ZI	IP Code	20005			
39 Fir	nancial institution	ID type	Research, Statistics, Supervision and Discount (RSSD) number		
ID) number		12365422		
*40 C	ontact office		Compliance		
*41 Pl	hone number		2024563100 Ext.		
*42 [Date Filed		(Date filed will be auto-populated when the form is signed.)		



Part I Person Involve	ved in Trans	action 1 of 2	•	00		
	onducting on on own behalf b Person conducting on on own behalf transaction for another c Person on whose behalf transaction was conducted d Courier Service (private transactions					Courier Service (private)
Check						
*4 Individual's last name or entity's legal name	Unknown	Bob's Warehouse				
*5 First name	Unknown					
6 Middle name						
Suffix						
7 Gender						
8 Alternate Name						
9 Occupation or type of business Storage Facility Owner						
9a NAICS Code	493					
*10 Address	Unknown	123 Nice Lane				
*11 City	Unknown	Washington				
*12 State	Unknown	D	c	*13 ZIP/Posta	Code Unknown	20005
*14 Country	Unknown	U	s			
*15 TIN	Unknown	456123987		16 TIN type	EIN	
*17 Date of birth	Unknown					
18 Contact phone number	er	2023536900		Ext.		
19 E-mail address		bob@bobswareho	use.com			
*20 Form of identification	used to verify id	entity(Jnknown			
Driver's licen	se/State ID	Passport	en Registration	⊠ Other Bu	ısiness License	
Number DC123456		Country	US	Is	suing State	DC
21 Cash in amount for in	dividual or entity	listed in Item 4	\$	21,	000	
	Acco	ount number 12365	4			0
22 Cash out amount for i	ndividual or entit	y listed in Item 4	\$			
	ount number				0	

Part i Person involved in Transaction 2 of 2					
		b Person conducting transaction for another	c Person on wi transaction w	hose behalf vas conducted d	Courier Service (private)
Check	If entity				
*4 Individual's last name or entity's legal name	Unknown	Armored Car Service One			
*5 First name	Unknown				
6 Middle name					
Suffix					
7 Gender					
8 Alternate Name					
9 Occupation or type of business Armored Car Service					
9a NAICS Code		4921			
*10 Address	Unknown	55 West Street			
*11 City	Unknown	Washington			
*12 State	Unknown	DC	*13 ZIP/Postal Code Unknown 20010		20010
*14 Country	Unknown	US			
*15 TIN	Unknown	654329874	16 TIN type	EIN	
*17 Date of birth	Unknown				
18 Contact phone number	er	2025551212	Ext.		
19 E-mail address		Compliance@acsone.com			
*20 Form of identification	used to verify id	dentity Unknown			
Driver's licen	se/State ID	Passport	⊠ Other Bus	siness License	
Number DC12456		Country	Iss	suing State	
				<u></u>	
21 Cash in amount for in	energia de la companya de la company	The contract of the Age (20) was work of the contract of the C	21,0	00	
	Acc	ount number 223654			
22 Cash out amount for i	ndividual or enti	ty listed in Item 4 \$		_	
	Account number				

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Part II Amount and Type of Transaction(s). Check all boxes that apply.

*23	Date of transaction 11/08/2012			
24	Armored car (FI Contract)	☐ ATM ☐ Mail Depos	it or Shipment Night Deposit	Aggregated transactions
*25	CASH IN: (in U.S. dollar equivalent))	*27 CASH OUT: (in U.S. dollar equivale	ent)
а	Deposit(s) \$	21,000.00	a Withdrawal(s) \$.00
b	Payment(s)	.00	b Advance(s) on credit (including markers)	.00
c	Currency received for funds transfer(s) out	.00	c Currency paid from funds transfer(s) in	.00
d	Purchase of negotiable instrument (s)	.00	d Negotiable instrument(s) cashed	.00
е	Currency exchange(s)	.00	e Currency exchange(s)	.00
f	Currency to prepaid access	.00	f Currency from prepaid access	.00
g	Purchases of casinos chips, tokens and other gaming instruments	.00	g Redemption(s) of casino chips, tokens, TITO tickets and other gaming instrument	s00_
h	Currency wager(s) including money plays	.00	h Payment(s) on wager(s) (including race and OTB or sports pool)	
ı	Bills inserted into gaming devices	.00.	Travel and complimentary expenses and book gaming incentives	.00
z	Other (specify):		j Payment for tournament, contest or other promotions	.00
s <u>-</u>		.00	z Other (specify):	
				.00
	Total Cash in \$	21,000.00	Total Cash out \$.00_
26	Foreign Cash in	Forei	gn Country	+ •
28 F	Foreign Cash out	Forei	gn Country	0 0

Pai	Part III Financial Institution Where Transaction(s) Takes Place 1 of 1				
*37	Type of financial in	nstitution	Depository institution		
	Other (specify)				
*29	Primary federal re	gulator	Office of the Comptroller of the Currency (OCC)		
38	lf 37a - Casino/Car	rd Club is ch	ecked, indicate type (check only one)		
	State licens	ed casino	☐ Tribal authorized casino ☐ Card club ☐ Other		
*30	Legal name of fina	ancial institu	tion Bank A		
31	Alternate name, e.	.g. trade nar	ne, DBA		
*32	EIN	11232336	3		
*33	Address	1000 14th	Street NW		
*34	City	Washingt	on		
*35	State		DC		
*36	ZIP Code	20005			
39	39 Financial institution ID type Research, Statistics, Supervision and Discount (RSSD) number				
	ID number		12365422		
*40	Contact office		Compliance		
*41	Phone number		2024563100 Ext.		
*42	Date Filed		(Date filed will be auto-populated when the form is signed.)		

2. Bank B – Multiple transactions aggregating to a reportable amount

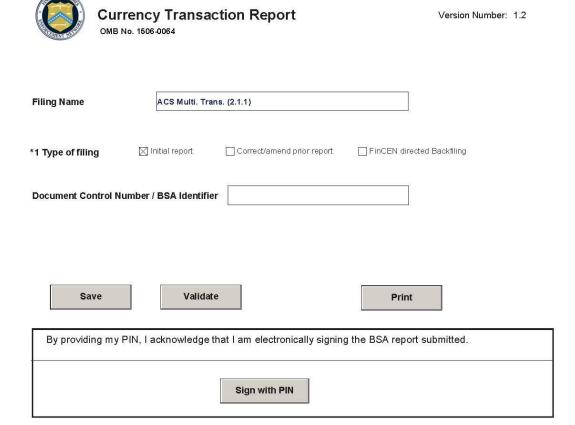
On the same banking day, Bank B receives currency deposits for the accounts of five accountholders, as follows:

- 2.1. Mr. Adams receives three deposits (for individual amounts in excess of \$10,000) for a total of \$37,500 in currency: \$11,000 is delivered by ACS One, an armored car service working on behalf of Bank B; \$15,000 is deposited by Mr. Adams himself; and the balance, \$11,500, is delivered by ACS Two, an armored car service acting on Mr. Adams's behalf.
- 2.1.1. If Bank B is able to determine which armored car service transactions were conducted on behalf of the bank and which ones were conducted on behalf of the bank's customer, Bank B will complete the CTR reflecting this transaction as follows:
 - Part I (1 of 2) will show Mr. Adams's personal information checking boxes 2a ("Person conducting transaction on own behalf") and 3 ("Multiple Transactions"), and inserting in item 21 the total amount deposited (\$37,500), and Mr. Adams' account number.
 - Part I (2 of 2) will show ACS Two's corporate information checking boxes 2d ("Courier service (private)") and "Check if entity," and inserting in item 21 the \$11,500 delivered by ACS Two on Mr. Adams's behalf and Mr. Adams's account number.
 - Part II will show the total deposits of \$37,500 in section 25a, checking box 24 ("Armored Car (FI Contract)" to justify the \$11,000 delivered by ACS One on Bank B's behalf.
 - Part III will contain Bank B's information as the financial institution where transaction took place.

Parts I and II of the completed CTR are shown on pages 16 through 19 of this guidance.

- 2.1.2. If Bank B is unable to determine which armored car service transactions were conducted on behalf of the bank and which ones were conducted on behalf of the bank's customer, Bank B will complete the CTR reflecting this transaction as follows:
 - Part I (1 of 3) will show Mr. Adams's personal information, checking boxes 2a ("Person conducting transaction on own behalf") and 3 ("Multiple Transactions"), and inserting in item 21 the total amount deposited (\$37,500) and Mr. Adams' account number.
 - Part I (2 of 3) will show ACS Two's corporate information, checking boxes 2d ("Courier service (private)") and "Check if entity," and inserting in item 21 the \$11,500 delivered by ACS Two and Mr. Adams's account number.
 - Part I (3 of 3) will show ACS One's corporate information, checking boxes 2d ("Courier service (private)") and "Check if entity," and inserting in item 21 the \$11,000 delivered by ACS One and Mr. Adams's account number.
 - Part II will show the total deposits of \$37,500 in section 25a.
 - Part III will contain Bank B's information as the financial institution where transaction took place.

Parts I and II of the completed CTR are shown on pages 20 through 24 of this guidance.



Part I Person Involved in Transaction 1 of 2					
*2 a Person conditransaction	ducting on own behalf	Person conducting transaction for another c Person on whose behalf transaction for another c Person on whose behalf transaction was conducted d Courier Service (private)		
3 Multiple tran	sactions				
Check	If entity				
*4 Individual's last name or entity's legal name	Unknown	Adams			
*5 First name	Unknown	John			
6 Middle name		A.			
Suffix					
7 Gender		Male			
8 Alternate Name					
9 Occupation or type of b	usiness	Tax Preparation Services			
9a NAICS Code		5412			
*10 Address	Unknown	120 North Street			
*11 City	Unknown	Baltimore			
*12 State	Unknown	MD *13 ZIP/Postal Code ☐ Unknown 21022			
*14 Country	Unknown	US			
*15 TIN	Unknown	987456552 16 TIN type SSN-IπN			
*17 Date of birth	Unknown	11/10/1960			
18 Contact phone number	er	4108952011 Ext.			
19 E-mail address		adam@taxservices.com			
*20 Form of identification	used to verify id	dentity Unknown			
□ Driver's licen	se/State ID	Passport Alien Registration Other			
Number 745789152321		Country US Issuing State MD			
21 Cash in amount for in	dividual or entity				
Account number 654321					
22 Cash out amount for i	ndividual or enti	ity listed in Item 4 \$			
	Account number				

Part i Person involv	Part i Person involved in Transaction 2 of 2				
*2 a Person conducting transaction on own behalf 3 Multiple transactions		b Person conducting transaction for another	c Person on wi transaction w	hose behalf vas conducted d ∑	Courier Service (private)
Check	If entity				
*4 Individual's last name or entity's legal name	Unknown	Armored Car Service Two			
*5 First name	Unknown				
6 Middle name					
Suffix					
7 Gender					
8 Alternate Name					
9 Occupation or type of business Armored Car Service					
9a NAICS Code		4921			
*10 Address	Unknown	55 Pratt Street			
*11 City	Unknown	Baltimore			
*12 State	Unknown	MD	*13 ZIP/Postal	Code Unknown	21002
*14 Country	Unknown	US			
*15 TIN	Unknown	885512366	16 TIN type	EIN	
*17 Date of birth	Unknown				
18 Contact phone number	r	4105551212	Ext.		
19 E-mail address		ACS2@ACS2.com			
*20 Form of identification	used to verify id	lentity Unknown			
Driver's licens	se/State ID	Passport Alien Registration	⊠ Other Bu	isiness License	
Number MD456456456		Country	Iss	suing State	
21 Cash in amount for in	and the second development of the second	10	11,5	00	0.0
	Acc	ount number 654321			
22 Cash out amount for i		-		_	
	Account number				

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Part II Amount and Type of Transaction(s). Check all boxes that apply.

*23 Date of transaction 12/19/2012				
24 Armored car (FI Contract)	_ ATM	Mail Depos	it or Shipment Night Deposit	Aggregated transactions
*25 CASH IN: (in U.S. dollar equivaler	nt)		*27 CASH OUT: (in U.S. dollar equiv	alent)
a Deposit(s)	\$	37,500.00	a Withdrawal(s)	\$
b Payment(s)	8	.00	b Advance(s) on credit (including marker	s)
 Currency received for funds transfer(s) out 		.00	c Currency paid from funds transfer(s) in	.00_
d Purchase of negotiable instrument (s)		.00	d Negotiable instrument(s) cashed	
e Currency exchange(s)		.00	e Currency exchange(s)	.00
f Currency to prepaid access		.00	f Currency from prepaid access	.00
g Purchases of casinos chips, tokens and other gaming instruments		.00	g Redemption(s) of casino chips, tokens, TITO tickets and other gaming instrume	
h Currency wager(s) including money plays		.00	h Payment(s) on wager(s) (including race and OTB or sports pool)	.00
i Bills inserted into gaming devices	19	.00	i Travel and complimentary expenses ar book gaming incentives	.00
z Other (specify):			j Payment for tournament, contest or oth promotions	.00
P	-	.00	z Other (specify):	
Total Cash in \$_		37,500.00	Total Cash out	.00
26 Foreign Cash in		Forei	gn Country	• •
28 Foreign Cash out		Forei	gn Country	• •

	rrency Transa No. 1506-0064	ction Report	Version Number: 1.2
Filing Name	ACS Multi. Tra	ans. (2.1.2)	
*1 Type of filing	☐ Initial report	Correct/amend prior report	FinCEN directed Backfiling
Document Control N	lumber / BSA Identifi	er	
Save	Valida	te	Print
By pro∨iding my	PIN, I acknowledge	that I am electronically signi	ing the BSA report submitted.
		Sign with PIN	

Part i Person involved in Transaction 1 of 3								
*2 a \boxtimes Person condition of transaction of Multiple transaction of	on own behalf	b Person conducting transaction for another	c Person on wi transaction w	hose behalf /as conducted d	Courier Service (private)			
Check	If entity				*			
*4 Individual's last name or entity's legal name	Unknown	Adams						
*5 First name	Unknown	John						
6 Middle name		A						
Suffix								
7 Gender		Male						
8 Alternate Name								
9 Occupation or type of b	usiness	Tax Preparation Services						
9a NAICS Code			5412	2				
*10 Address	Unknown	120 North Street	120 North Street					
*11 City	Unknown	Baltimore						
*12 State	Unknown	MD	*13 ZIP/Postal	Code Unknown	21022			
*14 Country	Unknown	US						
*15 TIN	Unknown	987456552	16 TIN type	SSN-ITIN				
*17 Date of birth	Unknown	11/10/1960						
18 Contact phone number	r	4108952011	Ext.					
19 E-mail address		adam@taxservices.com						
*20 Form of identification	used to verify ic	dentity Unknown						
□ Driver's license □ Driver's li	se/State ID	Passport	Other					
Number 745789152321		Country US	Iss	suing State	MD			
21 Cash in amount for in	21 Cash in amount for individual or entity listed in Item 4 \$ 37,500							
	Acc	ount number 654321						
22 Cash out amount for i	2 Cash out amount for individual or entity listed in Item 4 \$							
Account number								

Part I Person Involved in Transaction 2 of 3					
*2 a Person conducting b Person conducting transaction on own behalf b Person conducting transaction on own behalf b Person conducting transaction for another c Person on whose behalf transaction was conducted d Notice (prival prival					
3 Multiple transactions					
Check 🔀 If entity					
*4 Individual's last name or entity's legal name	Armored Car Service Two				
*5 First name Unknown					
6 Middle name					
Suffix					
7 Gender					
8 Alternate Name					
9 Occupation or type of business	Armored car service				
9a NAICS Code	4921				
*10 Address Unknown	55 Pratt Street				
*11 City Unknown	Baltimore				
*12 State Unknown	MD *13 ZIP/Postal Code Unknown 210	02			
*14 Country Unknown	US				
*15 TIN Unknown	885512366 16 TIN type EIN				
*17 Date of birth Unknown					
18 Contact phone number	4105551212 Ext.				
19 E-mail address	acs2@acs2.com				
*20 Form of identification used to verify in	dentity Unknown				
Driver's license/State ID	Passport Alien Registration Other Business License				
Number MD458879622	Country US Issuing State	MD			
WID TO GOT TO EE	Country US Issuing State	MD			
21 Cash in amount for individual or entity	y listed in Item 4 \$11,500				
Account number 654321					
22 Cash out amount for individual or ent	ity listed in Item 4 \$				
Account number					

Part I Person Involved in Transaction 3 of 3						
" ² a ☐ transaction o	transaction on own behalf transaction for another transaction was conducted					Courier Service (private)
Check						
*4 Individual's last name or entity's legal name	Unknown	Armored Ca	r Service One			
*5 First name	Unknown					
6 Middle name	1					
Suffix						
7 Gender	×					
8 Alternate Name						
9 Occupation or type of b	usiness	Armored car	service			
9a NAICS Code				492	1	
*10 Address	Unknown	100 Lombard Street				
*11 City	Unknown	Baltimore				
*12 State	Unknown	MID *13 ZIP/Postal Code Unknown 21666				
*14 Country	Unknown		us			
*15 TIN	Unknown	368852111		16 TIN type	EIN	
*17 Date of birth	Unknown					
18 Contact phone number	er	4106661212		Ext.		
19 E-mail address		acs1@acs1.c	om			
*20 Form of identification	used to verify id	lentity	Unknown			
Driver's licen	se/State ID	Passport [Alien Registration	⊠ Other B	usiness License	
Number MD3322554411		Country	US	Is	suing State	MD
21 Cash in amount for individual or entity listed in Item 4 \$ 11,000						
	Account number 654321					
22 Cash out amount for i	ndividual or entil	ty listed in Item	14 \$			
	Acco	ount number				0 0

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Part II Amount and Type of Transaction(s). Check all boxes that apply.

*23	Date of transaction 12/19/2012			
24	Armored car (FI Contract)	☐ ATM ☐ Mail Depos	sit or Shipment Night Deposit	Aggregated transactions
*25	CASH IN: (in U.S. dollar equivalent)	*27 CASH OUT: (in U.S. dollar equivale	ent)
а	Deposit(s)	37,500.00	a Withdrawal(s)	.00
b	Payment(s)	.00	b Advance(s) on credit (including markers)	.00
c	Currency received for funds transfer(s) out	.00	c Currency paid from funds transfer(s) in	.00
d	Purchase of negotiable instrument (s)	.00	d Negotiable instrument(s) cashed	
е	Currency exchange(s)	.00	e Currency exchange(s)	.00
f	Currency to prepaid access	.00	f Currency from prepaid access	.00
g	Purchases of casinos chips, tokens and other gaming instruments	.00	g Redemption(s) of casino chips, tokens, TITO tickets and other gaming instrument	ss
h	Currency wager(s) including money plays	.00.	h Payment(s) on wager(s) (including race and OTB or sports pool)	.00
i	Bills inserted into gaming devices	.00	Travel and complimentary expenses and book gaming incentives	
z	Other (specify):		j Payment for tournament, contest or other promotions	.00
·		.00_	z Other (specify):	-
				00_
	Total Cash in \$	37,500.00	Total Cash out \$.00
26	Foreign Cash in	Forei	gn Country	0 0
28 F	Foreign Cash out	Forei	gn Country	0 0

- 2.2. Mr. Brown receives a total of \$9,000 in currency delivered by ACS One acting on Mr. Brown's behalf. A CTR is not required as the total amount received does not exceed \$10,000.
- 2.3. Mr. Davis receives two deposits (individually in amounts exceeding \$10,000) for a total of \$23,000 in currency: \$10,500 is delivered by ACS Three acting on Mr. Davis's behalf; the balance, \$12,500, is delivered by a third party, John Smith.

Bank B will complete the CTR reflecting this transaction as follows:

- Part I (1 of 3) will show Mr. Davis's personal information, checking boxes 2c ("Person on whose behalf transaction was conducted") and 3 ("Multiple Transactions"), and inserting in item 21 the total amount deposited (\$23,000) and Mr. Davis's account number.
- Part I (2 of 3) will show ACS Three's corporate information, checking boxes 2d ("Courier service (private)") and "Check if entity," and inserting in item 21 the \$10,500 delivered by ACS Three on Mr. Davis's behalf and Mr. Davis's account number.
- Part I (3 of 3) will show Mr. Smith's personal information, checking box 2b ("Person conducting transaction for another"), and inserting in item 21 the \$12,500 delivered by John Smith and Mr. Davis's account number.
- Part II will show the total deposits of \$23,000 in section 25a.
- Part III will contain Bank B's information as the financial institution where transaction took place.

Parts I and II of the completed CTR are shown on pages 26 through 30 of this guidance.

2.4. Mr. Edwards receives a total of \$8,600 in currency delivered by ACS Three acting on Mr. Edwards's behalf. A CTR is not required, as the total amount received does not exceed \$10,000.

Currenc OMB No. 1506	y Transaction Report	Version Number: 1.2
Filing Name	ACS Multi. Trans. (2.3)	
*1 Type of filing	Initial report Correct/amend prior report Fin	CEN directed Backfiling
Document Control Number	/ BSA Identifier	
Save	Validate	Print
By providing my PIN, I a	acknowledge that I am electronically signing the BS	A report submitted.
	Sign with PIN	

Part I Person Invol	ved in Trans	saction 1 of 3	9 9					
*2 a Person conduction of transaction of Multiple transaction	on own behalf	b Person conducting transaction for another						
Check	☐ If entity							
*4 Individual's last name or entity's legal name	Unknown	Davis						
*5 First name	Unknown	William						
6 Middle name		В						
Suffix								
7 Gender								
8 Alternate Name								
9 Occupation or type of b	ousiness	Real estate broker						
9a NAICS Code 5313								
*10 Address	Unknown	100 Dorsey Hall Drive						
*11 City	Unknown	Columbia						
*12 State	Unknown	MD	*13 ZIP/Postal 0	Code Unknown	21044			
*14 Country	Unknown	US						
*15 TIN	Unknown	258741000	16 TIN type	SSN-ITIN				
*17 Date of birth	Unknown	09/20/1965						
18 Contact phone number	er	4435551212	Ext.					
19 E-mail address		william.davis@aol.com						
*20 Form of identification	used to verify i	dentity Unknown						
□ Driver's licen	se/State ID	Passport	☐ Other					
Number 258963215741		Country US	iss	uing State	MD			
21 Cash in amount for individual or entity listed in Item 4 \$ 23,000								
	Account number 698523645							
22 Cash out amount for	22 Cash out amount for individual or entity listed in Item 4 \$							
	Acc	ount number			0			

Note: Field 7 (Gender) is an optional field that is required only if the FI has the information.

Part I Person Invol	Part I Person Involved in Transaction 2 of 3							
*2 a Person conducting Person conducting transaction on own behalf b Person conducting transaction on own behalf transaction for another transaction was conducted d Courier Service (priv								
3 Multiple tran	sactions							
Check								
*4 Individual's last name or entity's legal name	Unknown	Armored Car Service Three						
*5 First name	Unknown							
6 Middle name								
Suffix								
7 Gender								
8 Alternate Name								
9 Occupation or type of b	usiness	Armored Car Service						
9a NAICS Code			4921					
*10 Address	Unknown	555 West Street						
*11 City	Unknown	Columbia						
*12 State	Unknown	MD	*13 ZIP/Postal Code Unknown	21044				
*14 Country	Unknown	us						
*15 TIN	Unknown	477523459	16 TIN type EIN					
*17 Date of birth	Unknown							
18 Contact phone number	er	4105551212	Ext.					
19 E-mail address		acs3@acs3.com						
*20 Form of identification	used to verify id	dentity Unknown						
Driver's licen	se/State ID	Passport Alien Registration	Other Business License					
Number MD45876922 Country US Issuing State MD								
21 Cash in amount for in	21 Cash in amount for individual or entity listed in Item 4 \$ 10,500							
	Account number 698523645							
22 Cash out amount for i	22 Cash out amount for individual or entity listed in Item 4 \$							
Account number				9 9				

Part i Person involv	ed in Transac	ction 3 or 3	9 6			
*2 a Person cond transaction of Multiple transaction	n own behalf b	Person conducting transaction for another	Person on where transaction w	nose behalf vas conducted d	Courier Service (private)	
Check	If entity					
*4 Individual's last name or entity's legal name	Unknown	Smith				
*5 First name	Unknown	lohn				
6 Middle name	ר					
Suffix						
7 Gender		Male				
8 Alternate Name						
9 Occupation or type of bu	usiness					
9a NAICS Code						
*10 Address	Unknown 7	89 North Avenue				
*11 City	Unknown	Columbia				
*12 State	Unknown	MD	*13 ZIP/Postal	Code Unknown	21044	
*14 Country	Unknown	US				
*15 TIN	Unknown 1	59151596	16 TIN type	SSN-ITIN		
*17 Date of birth	⊠ Unknown					
18 Contact phone number	r		Ext.			
19 E-mail address						
*20 Form of identification	used to verify iden	utity Unknown				
□ Driver's licens	se/State ID 🔲 P	assport	Other			
Number 123659966		Country US	Iss	suing State	MD	
21 Cash in amount for inc	dividual or entit∨ lie	sted in Item 4 \$	12,5	00		
	Account number 698523645					
22 Cash out amount for in	2 Cash out amount for individual or entity listed in Item 4 \$					
	Account number					

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Part II Amount and Type of Transaction(s). Check all boxes that apply.

*23 Date of transaction 12/19/2012			
24 Armored car (FI Contract)	☐ ATM ☐ Mail Deposit or 9	Shipment Night Deposit	Aggregated transactions
*25 CASH IN: (in U.S. dollar equivalent)	*27	CASH OUT: (in U.S. dollar equivalent	ent)
a Deposit(s) \$	23,000 <u>.00</u> a	Withdrawal(s)	.00_
b Payment(s)	.00 b	Advance(s) on credit (including markers)	00.
c Currency received for funds transfer(s) out	.00 c	Currency paid from funds transfer(s) in	.00
d Purchase of negotiable instrument (s)	.00 d	Negotiable instrument(s) cashed	
e Currency exchange(s)	.00 e	Currency exchange(s)	.00.
f Currency to prepaid access	.00 f	Currency from prepaid access	
g Purchases of casinos chips, tokens and other gaming instruments		Redemption(s) of casino chips, tokens, TITO tickets and other gaming instrument	ts
h Currency wager(s) including money plays		Payment(s) on wager(s) (including race and OTB or sports pool)	.00
Bills inserted into gaming devices		Travel and complimentary expenses and book gaming incentives	.00
z Other (specify):		Payment for tournament, contest or other promotions	.00
	.00 z (Other (specify):	
	_		.00_
Total Cash in \$	23,000.00 T	otal Cash out \$.00
26 Foreign Cash in	Foreign Co	untry	&
28 Foreign Cash out	Foreign Co	untry	+ -

2.5. Mr. Foster receives three deposits (individually in amounts below \$10,000) for a total of \$12,000 in currency; all deposits are delivered by armored car services acting on Mr. Foster's behalf: \$3,000 is delivered by ACS One, \$4,000 is delivered by ACS Two, and \$5,000 is delivered by ACS Three.

Bank B will complete the CTR reflecting this transaction as follows:

- Part I (1 of 1) will show Mr. Foster's personal information, checking boxes 2c ("Person on whose behalf transaction was conducted") and 3 ("Multiple Transactions"), and inserting in item 21 the total amount deposited (\$12,000) and Mr. Foster's account number.
- Part II will show the total deposits of \$12,000 in section 25a, checking box 24 ("Aggregated Transactions").
- Part III will contain Bank B's information as the financial institution where transaction took place.

Parts I and II of the completed CTR are shown on pages 32 through 34 of this guidance.

During the same business day, acting on behalf of Bank B's customers ACS One made total currency deliveries for \$12,000 (Case 2.2 \$9,000 and Case 2.5 \$3,000), ACS Two made total currency deliveries of \$15,500 (Case 2.1.1. \$11,500 and Case 2.5 \$4,000), and ACS Three made total currency deliveries of \$24,100 (Case 2.3 \$10,500, Case 2.4 \$8,600, and Case 2.5 \$5,000). However, Bank B's reporting obligation regarding transactions conducted by armored car services on behalf of its customers is satisfied by filing a CTR aggregated by customer. Bank B is not required to file additional, separate CTRs aggregating all armored car service deliveries/pick-ups conducted on behalf of its customers on the same business day.

	rency Transaction Report	Version Number: 1.2
Filing Name	ACS Aggregate Trans. (2.5)	
*1 Type of filing	☐ Correct/amend prior report	FinCEN directed Backfiling
Document Control Nu	umber / BSA Identifier	
Save	Validate	Print
By providing my F	PIN, I acknowledge that I am electronically signing	the BSA report submitted.
		, 11.5 _ 5 . 1 . 5 . 5 . 5 . 5 . 5 . 5 . 5 . 5 .
	Sign with PIN	
	oigh with the	

Part I Person Invol	Part I Person Involved in Transaction 1 of 1					
*2 a Person contransaction 3 Multiple transaction	on own behalf	b Person conducting transaction for another		rhose behalf was conducted	Courier Service (private)	
Check	If entity					
*4 Individual's last name or entity's legal name	Unknown	Foster				
*5 First name	Unknown	John				
6 Middle name		D				
Suffix						
7 Gender		Male				
8 Alternate Name						
9 Occupation or type of b	ousiness					
9a NAICS Code						
*10 Address	Unknown	246 West Street				
*11 City	Unknown	Laurel				
*12 State	Unknown	NE	*13 ZIP/Posta	Code Unknow	79183	
*14 Country	Unknown	US				
*15 TIN	Unknown	663589974	16 TIN type	SSN-ITIN		
*17 Date of birth	Unknown	06/25/1970				
18 Contact phone number	er	2467891236	Ext.			
19 E-mail address		John.Foster@gmail.com				
*20 Form of identification	used to verify id	dentity Unknown				
□ Driver's licen	se/State ID	Passport	on			
Number 5569874563		Country	US Is	suing State	NE	
21 Cash in amount for in	21 Cash in amount for individual or entity listed in Item 4 \$ 12,000					
21 Cash in amount for individual or entity listed in Item 4 \$ 12,000 Account number 98765321						
22 Cook out amount for	individual or anti	tylicted in Item 4				
22 Cash out amount for		ty listed in Item 4 \$ount number			.	
	ACC	ount number				

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Part II Amount and Type of Transaction(s). Check all boxes that apply.

*23	Date of transaction 12/19/2012					
24	Armored car (FI Contract)	□АТМ	☐ Mail Depos	it or Shipment Ni	ght Deposit 🔀 Aggreg	gated transactions
*25	CASH IN: (in U.S. dollar equivalen	t)		*27 CASH OUT: (in U.S	5. dollar equivalent)	
а	Deposit(s)	<u> </u>	12,000.00	a Withdrawal(s)	\$.00
b	Payment(s)	-	.00	b Advance(s) on credit (in	ncluding markers)	.00
c	Currency received for funds transfer(s) out	<u>e</u>	.00_	c Currency paid from fund	ds transfer(s) in	.00
C	Purchase of negotiable instrument (s)		.00	d Negotiable instrument(s	s) cashed	.00
е	Currency exchange(s)	-	.00	e Currency exchange(s)		.00
f	Currency to prepaid access		.00	f Currency from prepaid	access	.00_
g	Purchases of casinos chips, tokens and other gaming instruments		.00	g Redemption(s) of casing TITO tickets and other of	944 - 1964 (1945) - 1964 (1945) 400 (1945) 400 (1945) 	.00
r	Currency wager(s) including money plays		.00	h Payment(s) on wager(s and OTB or sports poo		.00_
1	Bills inserted into gaming devices		.00	i Travel and complimenta book gaming incentives		.00
Z	Other (specify):			j Payment for tournamen promotions	t, contest or other	.00
			.00	z Other (specify):		
						.00
	Total Cash in \$		12,000.00	Total Cash out	\$.00_
26	Foreign Cash in		Forei	gn Country		+ -
28 1	Foreign Cash out		Foreig	gn Country		D D

- 3. Bank C Armored car service delivering on behalf of a third party for the credit of the account of a bank's customer
- 3.1. Bank C receives a \$20,000 delivery from Armored Car Service Four (ACS Four), acting on instructions from a shipper, Mexican Casa de Cambio (Casa de Cambio Reynosa), to be credited to the account of Mr. Green, the bank's customer.

Bank C will complete the CTR reporting this transaction as follows:

- Part I (1 of 3) will show Mr. Green's personal information, checking box 2c ("Person on whose behalf transaction was conducted"), and inserting in item 21 the total amount deposited (\$20,000) and Mr. Green's account number.
- Part I (2 of 3) will show ACS Four's corporate information, checking boxes 2d ("Courier service (private)") and "Check if entity," and inserting in item 21 the \$20,000 delivered by ACS Four on behalf of Casa de Cambio Reynosa and Mr. Green's account number.
- Part I (3 of 3) will show Casa de Cambio Reynosa's corporate information as an additional person on whose behalf the same \$20,000 was conducted, checking boxes 2c ("Person on whose behalf transaction was conducted") and "Check if entity," and inserting in item 21 the total amount deposited (\$20,000) and Mr. Green's account number.
- Part II will show the total deposits of \$20,000 in section 25a.
- Part III will contain Bank C's information as the financial institution where transaction took place.

The completed CTR is shown on pages 36 through 41 of this guidance.

Even though ACS Four made a currency delivery of \$20,000 acting on behalf of a person other than Bank C, no separate CTRs need to be filed on ACS Four's delivery.

Currence OMB No. 1500	y Transaction Report 6-0064	Version Number: 1.2
Filing Name	ACS Del. O/B 3rd Pty (3.1)	
*1 Type of filing	Initial report. Correct/amend prior report	FinCEN directed Backfilling
Document Control Number	/ BSA Identifier	
Save	Validate	Print
By providing my PIN, I	acknowledge that I am electronically signing	the BSA report submitted.
	Sign with PIN	

*2 a Person conditransaction of Multiple trans	on own behalf	b Person conducting transaction for another	c Person on w transaction w	hose behalf vas conducted d	Courier Service (private)
Check	If entity				
*4 Individual's last name or entity's legal name	Unknown	Green			
*5 First name	Unknown	John			
6 Middle name		0			
Suffix					
7 Gender					
8 Alternate Name					
9 Occupation or type of b	usiness				
9a NAICS Code					
*10 Address	Unknown	400 North Forty			
*11 City	Unknown	McAllen			
*12 State	Unknown	TX	*13 ZIP/Postal	Code Unknown	79415
*14 Country	Unknown	us			
*15 TIN	Unknown	668451236	16 TIN type	SSN-ITIN	
*17 Date of birth	Unknown	05/10/1960			
18 Contact phone number	r	2145551212	Ext.		
19 E-mail address		john.green@msn.com			
*20 Form of identification used to verify identity Unknown					
☐ Driver's license/State ID ☐ Passport ☐ Alien Registration ☐ Other					
Number TX66554422		Country	S Iss	suing State	TX
21 Cash in amount for individual or entity listed in Item 4 \$ 20,000					
Account number 22558899			+ -		
22 Cash out amount for individual or entity listed in Item 4 \$					
Account number					

Note: Field 7 (Gender) is an optional field that is required only if the FI has the information.

Part i Person involved in Transaction 2 of 3					
*2 a □ Person conducting transaction on own behalf 3 □ Multiple transactions Person conducting transaction for another c □ Person on whose behalf transaction was conducted d □ Courier Service (private)					
Check	If entity				
*4 Individual's last name or entity's legal name	Unknown	Armored Car Service Four			
*5 First name	Unknown				
6 Middle name					
Suffix					
7 Gender					
8 Alternate Name					
9 Occupation or type of business A		Armored Car Service			
9a NAICS Code			4921		
*10 Address	Unknown	120 West Street			
*11 City	Unknown	McAllen			
*12 State	Unknown	TX	*13 ZIP/Postal	Code Unknown	79415
*14 Country	Unknown	US			
*15 TIN	Unknown	477253639	16 TIN type	EIN	
*17 Date of birth	Unknown				
18 Contact phone number	er	2145531212	Ext.		
19 E-mail address acs4@acs4.com					
*20 Form of identification used to verify identity					
☐ Driver's license/State ID ☐ Passport ☐ Alien Registration ☑ Other ☐ Business License					
Number TX45879622		Country US	Iss	suing State	тх
21 Cash in amount for individual or entity listed in Item 4 \$ 20,000					
Account number 22558899					
22 Cash out amount for individual or entity listed in Item 4 \$					
Account number					

Part I Person Involved in Transaction 3 of 3				
*2 a Person conducting transaction on own behalf b Person conducting transaction for another 3 Person conducting transaction for another b Person conducting transaction was conducted d Courier Service (private transaction was conducted b Person on whose behalf transaction was conducted b Courier Service (private transaction was conducted b Person on whose behalf transaction was conducted by P				
Check				
*4 Individual's last name or entity's legal name	Unknown	Casa de Cambio "Reynosa"		
*5 First name	Unknown			
6 Middle name				
Suffix				
7 Gender				
8 Alternate Name				
9 Occupation or type of business				
9a NAICS Code				
*10 Address	Unknown	555 Venustiano Carranza		
*11 City	Unknown	Reynosa		
*12 State	Unknown	TAM *1	3 ZIP/Postal Code Unknown 8805	
*14 Country	Unknown	MX		
*15 TIN		1	6 TIN type	
*17 Date of birth	Unknown			
18 Contact phone number	er	Į.	Ext.	
19 E-mail address				
*20 Form of identification used to verify identity ⊠Unknown				
☐ Driver's license/State ID ☐ Passport ☐ Alien Registration ☐ Other				
Number		Country	Issuing State	
21 Cash in amount for individual or entity listed in Item 4 \$ 20,000				
	Account number 22558899			
22 Cash out amount for		-		
Account number		ount number		

Note: Field 9a (NAICS Code) is an optional field that is required only if the FI has the information.

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Part II Amount and Type of Transaction(s). Check all boxes that apply.

*23 Date of transaction 12/19/2012			
24 Armored car (FI Contract) AT	Mail Depos	it or Shipment Night Deposit	Aggregated transactions
*25 CASH IN: (in U.S. dollar equivalent)		*27 CASH OUT: (in U.S. dollar equivalent))
a Deposit(s) \$	20,000.00	a Withdrawal(s) \$.00
b Payment(s)	.00	b Advance(s) on credit (including markers)	.00
c Currency received for funds transfer(s) out	.00	c Currency paid from funds transfer(s) in	.00
d Purchase of negotiable instrument (s)	.00	d Negotiable instrument(s) cashed	.00
e Currency exchange(s)	.00	e Currency exchange(s)	.00
f Currency to prepaid access	.00	f Currency from prepaid access	.00
g Purchases of casinos chips, tokens and other gaming instruments	.00	g Redemption(s) of casino chips, tokens, TITO tickets and other gaming instruments	.00
h Currency wager(s) including money plays	.00_	h Payment(s) on wager(s) (including race and OTB or sports pool)	.00_
i Bills inserted into gaming devices	.00	Travel and complimentary expenses and book gaming incentives	.00
z Other (specify):		j Payment for tournament, contest or other promotions	.00
	.00	z Other (specify):	100,000
			.00
Total Cash in	20,000.00	Total Cash out \$.00_
26 Foreign Cash in	Forei	gn Country	• •
28 Foreign Cash out	Forei	gn Country	e

Part III Financial Institution Where Transaction(s) Takes Place 1 of 1				
*37 Type of financial institution	Depository institution			
Other (specify)				
*29 Primary federal regulator	Federal Deposit Insurance Corporation (FDIC)			
38 If 37a - Casino/Card Club is checked, indicate type (check only one)				
State licensed casino Tribal authorized casino Card club Other				
*30 Legal name of financial institution Bank C				
31 Alternate name, e.g. trade name, DBA				
*32 EIN 24568888	245688888			
*33 Address 4500 Wa	4500 Water Court			
*34 City McAllen	4 City McAllen			
*35 State	тх			
*36 ZIP Code 79415				
39 Financial institution ID type	Research, Statistics, Supervision and Discount (RSSD) number			
ID number	951951951			
*40 Contact office	Compliance			
*41 Phone number	2145551212 Ext.			
*42 Date Filed	(Date filed will be auto-populated when the form is signed.)			